



PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q68821

SEP 1 3 2002

Shinichiro ASANO, et al.

TECH CENTER 1600/2900

Appln. No. 10/089,678

Group Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: April 3, 2002

For: PROTEIN HAVING PESTICIDAL ACTIVITY, DNA ENCODING THE PROTEIN,

AND NOXIOUS ORGANISM-CONTROLLING AGENT AND METHOD

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and, therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No. 10/089,678

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants submit the following explanations:

JP-A-06-065292, JP-A-07-000179 and JP-A-08-228783 are cited on page 6 of the

specification, as filed.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 33,725

Bruce E. Kramer

SUGHRUE MION, PLLC

2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: July 3, 2002

Substitute for Form 1449 A & B/PTO			Complete if Known		
			Application Number	10/089,678	
INFORMAT	ION DISCLO	SURE	Confirmation Number	Not Yet Assigned	
STATEMENT BY APPLICANT			Filing Date	April 3, 2002	
			First Named Inventor	Shinichiro ASANO	
(use as man)	sheets as necesso	iry)	Art Unit	Not Yet Assigned	
· · · · · · · · · · · · · · · · · · ·			Examiner Name	Not Yet Assigned	
Sheet 1	of	1	Attorney Docket Number	Q68821	

				U.S. I	PATENT DOCUM	IENTS
	Examiner	Cite	Document N		Publication Date MM-DD-YYYY	
	Luiting .	No.1	Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document
	0,,,	<u>6)</u>	US			
		<u>\( \text{S} \) \</u>	US			RECEIVED
1	0 2 200	2	US			
1.	JUL 6 3 204	<u> </u>	US			SEP 1 3 2002
PER		\$/	US			
10	<b>&gt;</b>	<u>*/</u>	US			##OU OF UTED 1000 10000
`	PABEN		US			TECH CENTER 1600/2900
			US			
			US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	]
	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
	1	JP	06-065292 •		3/8/1994		
		JP	07-000179 •	[	1/6/1995		
		JP	08-228783		9/10/1996		
	<b>↓</b>	ļ					<u> </u>
	<u> </u>	ļ	ļ	ļ	ļ		<u> </u>
	<b></b>	<b></b>		<u> </u>			<u> </u>
	<u> </u>	<u> </u>	<u> </u>	<u> </u>		<u></u>	<b></b>
	<u> </u>	<u>l</u> .	1	<u> </u>			1
				ł			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>	
	1	Patent Abstracts of Japan, JP-06-065292, dated 3/8/1994		
	T	Patent Abstracts of Japan, JP-07-000179, dated 1/6/1995		
		Patent Abstracts of Japan, JP08-228783, dated 9/10/1996		
	T			
	1			
	1			

Examiner Signature		Date Considered	
	<del></del>		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.